

1 **Multi-class semantic segmentation of faces using**

2 **CRFs**

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11 **Abstract:** Multi-class semantic image segmentation is widely used in a variety of
12 computer vision tasks such as objects segmentation and complex scene understanding.

13 As it decomposes an image into semantically relevant regions, it can be applied in
14 segmentation of face images. In this paper using the idea of Conditional Random Fields

15 (CRFs), an algorithm based on multi-class semantic segmentation of faces (MSS-CRFs)
16 is proposed. In the proposed model each node corresponds to a super-pixel while the

17 neighbouring super-pixels are connected to nodes through edges. Unlike previous
18 approaches which rely on three or four classes, the label set is extended here to six

19 classes, i.e. hair, eyes, nose, mouth, skin, and background. The proposed framework is
20 evaluated on standard face databases FASSEG, FIGARO and LFW. Experimental

21 results reveal that the performance of the proposed model is comparable with state of
22 the art techniques on these standard databases.

23 **Key words:** Multi-class face segmentation, conditional random fields, feature
24 extraction, classification.

25 **1. Introduction**

26 Face segmentation is useful in many facial applications of computer vision such as
27 estimation of gender, expression, age and ethnicity. Multi-class face segmentation is
28 used as a front-end for the estimation of all mid-level vision features for these
29 applications. In the recent years, face segmentation techniques have attracted much
30 attention with the development of many new algorithms [1, 2, 3]. The notable factors
31 influencing face segmentation are variations in lighting conditions, facial expressions,
32 face orientation, occlusion and image resolution. These and many more factors make the
33 development of an efficient segmentation algorithm a challenging task.

34 Many researchers around the world have solved many complicated problems of
35 segmentation using the idea of semantic segmentation. Extensive research work has
36 been carried out to investigate the problem with a major contribution from PASCAL
37 VOC challenge [4].

38 The work reported in Huang et al. [5] has tackled the joint study of face segmentation
39 and pose estimation. The authors have suggested that high level features such as pose,
40 gender, and expression can be predicted easily starting from the labelling of face image
41 into hair, skin and background. They proved that such segmentation provided useful
42 information for the estimation of pose. Experiments were performed on a small database
43 of 100 images. They worked on three simple poses, i.e. left, right and frontal face.

44 The relationship between face parts and pose is well established from psychology
45 literature as well [6]. Also, there are compelling evidences that facial features provide
46 useful information for human visual system to recognize the face identity [7, 8]. Hair
47 modelling, synthesis and animation are already active research topics in computer
48 graphics [9, 10]. Research work on face processing applications such as virtual make-up

49 [11], skin color beautification [12] and skin smoothing [13] have also been reported. All
50 these applications require the precise knowledge for each face segment at pixel level.
51 We argue that the proposed framework is a better solution as compared to state of the
52 art for all these applications.

53 In the work presented in this paper, we have developed an algorithm for face
54 segmentation using the idea of semantic segmentation and CRFs. This work is based on
55 our previous research where a new method of face segmentation was introduced, called
56 the Multi-Class Face Segmentation (MFS) [14]. In our previous work the problem of
57 face segmentation was thoroughly investigated using a small database of high resolution
58 frontal images. A built model returns a class label and probability value for each pixel.
59 Our present work is extension of the MFS work which tries to cover the main
60 weaknesses of MFS. Unlike the previous work, here experiments are performed on a
61 large database of low resolution images. Manual labelling of the face segments is
62 performed with excellent manual labelling tool. One of the main problems of MFS is
63 the processing time. To solve the speed problem, we integrated the pipe-line with super-
64 pixel segmentation algorithm. Similarly a conditional hierarchy for various face
65 segments is added to the proposed new framework.

66 **2. Related Work**

67 A number of models for face parts segmentation and face labelling have been proposed
68 in literature. The work of Yacoob and Davis [15] addressed problem of hair labelling.
69 The authors adapted region growing algorithm by building a Gaussian Mixture Model
70 (GMM). They compared appearance of hair of different people using their model.
71 However, performance of their proposed method was affected badly with the significant
72 changes in hair color. GMM model was further extended by Lee et al. [11]. Their

73 algorithm segmented face image into background and hair regions. They also
74 contributed a database of 150 manually labelled images (hair, face and skin). A super-
75 pixel based CRFs [15] was introduced by Huang et al. [5]. They trained standard CRFs
76 on the images taken in the wild to provide facial image labels for hair, skin and
77 background. Kae et al. [16] combined the strength of CRFs and Shape Boltzmann
78 Machine [17] introducing a new model named GLOC (GLObal and LOCal). Authors of
79 the paper claimed that this hybrid model produced results better than those of CRFs
80 alone.

81 Work of Yali et al. [18] focused mainly on the hair style representation and its
82 segmentation from facial regions. Scheffler and Jean [19] work was related to
83 segmentation of hair, skin, background and clothing. Local label consistency was
84 enhanced by the combination of CRFs and spatial prior of each label. Matteo et al. [1]
85 introduced a multi-classifier approach for face segmentation. They exploited color and
86 texture information to partition a face image into four-classes (skin, hair, clothes and
87 background). Their study focused on adaptation of the proposed technique in electronic
88 identity documents.

89 A deep learning based face labeling method was proposed by Luo et al. [2]. They
90 combined several trained models separately in which facial parts are labeled only. The
91 method proposed by the authors does not provide complete face labeling. Sifei et al. [3]
92 proposed a deep convolution network which models likelihoods (pixel-wise) and label
93 dependencies through an objective learning method denoted as Multi-Objective through
94 GraphCut (MO-GC). The framework proposed in this method uses a single deep
95 convolutional network. Two non-structured loss functions were used: first one encodes

96 the label likelihoods and second one encodes label dependencies. To the best of our
97 knowledge, this is latest proposed method providing face labeling till date.
98 Differently from all the mentioned approaches, our previously proposed approach MFS
99 is a new method for face segmentation which extended the label set into six semantic-
100 classes. A data-set of 70 manually labelled images was built and made publicly
101 available. A new model was trained using the extracted features. The best possible
102 configuration was investigated by changing various parameters and spatial setting in
103 those experiments. We observed during experiments three major problems faced with
104 MFS. Firstly, we did not include any kind of conditional hierarchy or global modelling
105 of face regions in the framework. In the proposed MSS-CRFs model, we included a
106 conditional hierarchy for six facial regions which boosted performance of the whole
107 framework. Secondly, processing time of the MFS is very long due to providing labels
108 for each pixel individually. MSS-CRFs is using the idea of super-pixels which reduces
109 the processing time of a testing image. Lastly, the testing set of the MFS is only 70
110 images (high resolution frontal images), out of which 20 were used for training and 50
111 for testing. Along with MFS comparison, we also performed experiments on three other
112 data-sets FASSEG V- 4, FIGARO [20] and LFW [3]. FASSEG V- 4 consists of low
113 resolution frontal images taken from Pointing'04 [21] and SliblingDB [22] databases
114 with image dataset of 182 images.

115 **3. Proposed Face Segmentation Model**

116 Our previously proposed face segmentation method (MSF) divides a given image into
117 patches with a fixed step size. After patches creation, features are extracted from each
118 patch. Using the extracted features a Random Decision Classifier is trained and tested.
119 This method does not consider any conditional hierarchies such as location of various

120 face parts and their relationship with each other. For example it is very unlikely to
121 happen mouth region near eye region. Unlike MSF, we formulate a CRFs model which
122 couples labels of face parts in a scale hierarchy. Another serious problem with MSF is
123 speed, since providing a class label to each pixel within an image takes a long time.
124 Instead of providing class labels to each pixel individually, a given image is first
125 divided into super-pixels. All pixels within the super-pixel get the same class label and
126 as a result reducing processing time of the framework.

127 The presentation of the proposed algorithm is divided into two parts: feature extraction
128 is presented in subsection 3.1 and segmentation via CRF and energy optimization is
129 explained in subsection 3.2.

130 **3.1. Feature Extraction Methods**

131 Super-pixel algorithm over-segments an image by grouping pixels into small
132 meaningful patches that belong to the same object. Instead of using just pixels, many
133 image processing applications benefit from working with super-pixels. The number of
134 entities to be labeled in semantic segmentation are reduced immensely by super-pixels.
135 Each super-pixel has multiple visual features. A single image is represented by a
136 multiple visual feature space after segmentation. We use SEEDS [23] algorithm to over-
137 segment an image into super-pixel. SEEDS is faster than previously proposed super-
138 pixel segmentation methods [23]. Moreover, according to standard error metrics, quality
139 of super-pixel segmentation for SEEDS is also higher than SLIC and other methods
140 [23]. Main problem of our previously proposed MFS method is speed; hence SEEDS is
141 the best choice in our experiments.

142 For the number of super-pixels we did a large number of experiments. During these
143 experiments we noted better results with a super-pixels number 700. The actual number

144 of super-pixels is of course smaller than this number due to certain restrictions. The
145 actual number of super-pixels depends on the image size and number of block levels
146 used in the super-pixel extraction process. The number of block levels defines the
147 blocks which the algorithm is using in the optimization process. If the numbers of levels
148 are increased, the super-pixel segmentation is more accurate; but this results more
149 memory and time consumption by the CPU. The SEEDS parameters we set are; number
150 of block levels = 3, histogram bins = 5 and each block level is iterated twice for better
151 accuracy.

152 For node features we use three different features extraction methods: color, shape and
153 spatial information. Different parameterization and settings for features are explored to
154 find the best possible configuration. We investigated these parameters in our previous
155 work MFS.

156 For spatial information, relative location of the center pixel of each patch is used as a
157 feature. Relative location of a pixel at position (x, y) is defined as $f_{loc} = [x/W, y/H] \in$
158 \mathbb{R}^2 ; where W is the width and H is height of the image.

159 HSV color histogram is adapted as color features. All the three values in HSV (hue,
160 saturation and variance) are concatenated to form a single feature vector. Patch
161 dimension of 16×16 ($D_{HSV} = 16 \times 16$) is used with 32 bins ($N_{bins} = 32$). Using these
162 values each patch generated a feature vector $F_{HSV} \in \mathbb{R}^{96}$ for color information.

163 To account for shape features, widely used HOG [24] is utilized. Dimension of the
164 patch for extracting HOG is kept 64×88 ($D_{HOG} = 64 \times 88$). With this dimension, a feature
165 vector $f_{HOG} \in \mathbb{R}^{2520}$ was produced.

166 Spatial, color, and shape feature vectors were concatenated to form a single feature
167 vector $f \in \mathbb{R}^{2618}$.

168 3.2. Multi-class Segmentation via CRFs and Energy Optimization

169 To estimate face segments we use CRFs. The proposed CRFs model encodes the
 170 probability of segmentation S with image features Z . The segmentation S is represented
 171 by $S = \{ s_1, \dots, s_m \}$. Where m is the total number of super-pixels in the image. s_i can
 172 take one of the six values corresponding to ‘mouth’, ‘eyes’, ‘back-ground’, ‘nose’,
 173 ‘hair’ and ‘skin’. Z consists of node features Z^m and edge features Z^e . We compute F_m
 174 features for i _th super-pixel, so Z_i^m is a vector having length F_m . For pair of
 175 neighbouring super-pixels i, j we compute F_e features resulting a single vector $Z_{i,j}^e$
 176 having length F_e .

177 Now, the log linear CRFs model developed will have node energies $\psi(s_i, Z_i^m)$ and edge
 178 energies $\psi(s_i, s_j, Z_{i,j}^m)$. Both of these quantities can be represented as follows,

$$179 \quad \psi(s_i = l, Z_i^m) = \sum_{f=1}^{F_m} (X_l^m)_f (Z_i^m)_f$$

$$180 \quad \psi(s_i = l_1, s_j = l_2, Z_{i,j}^e) = \sum_{f=1}^{F_e} (X_{l_1, l_2}^e)_f (Z_{i,j}^e)_f$$

181 Where a set of node weights is represented by X^m and edge weights X^e for each label l
 182 and pair of labels (l_1, l_2) respectively.

183 Now probability of the segmentation S if Z is given will be

$$184 \quad p(S \setminus Z) = \frac{\exp(-\sum_{i=1}^m \psi(s_i, Z_i^m) - \sum_{i,j} \psi(s_i, s_j, Z_{i,j}^m))}{N(Z)}$$

185 The second sum in the above equation is for neighbouring super-pixels and $N(Z)$ is the
 186 partition function which is used to normalize the distribution.

187 For the partition function we use the log likelihood through Bethe approximation [25].

188 Similarly for marginal approximation of each s_i we use loopy belief propagation. We

189 add Gaussian prior for regularization of weights. For estimating segmentation, we
190 utilized loopy belief propagation in order to find maximum posterior marginals. To
191 evaluate labelling accuracy of segmentation estimates, we applied L1 error on each
192 segmentation estimate. By this way each super-pixel is penalized according to the
193 difference between probability of correct label and probability value 1.0. For example if
194 the estimated super-pixel is given a probability of 0.7 being skin, which is in fact skin, a
195 penalty of 0.3 would be incurred as a result.

196 **4. Experimental Results and Discussion**

197 The only dataset available for six classes is FASSEG [14]. FASSEG is available in four
198 different versions. It can be downloaded from the website: <http://khalilkhan.net/face-segmentation-dataset/>. FASSEG V- 2 contains high resolution frontal images with low
199 level of variability. FASSEG V- 4 contains images which are low resolution and also
200 there is a variability factor such as candidates with beard, moustaches, glasses etc. We
201 performed our experiments with FASSEG V-2 and FASSEG V- 4. The promising
202 results show that the proposed model is capable of segmenting facial parts successfully
203 from facial images.

204 Some of the images segmented with proposed MSS-CRFs model are shown in Figure 1.
205 Images shown in Figure 1 are segmented into its corresponding face parts efficiently.
206 However in some cases segmentation results of the proposed MSS-CRFs algorithm are
207 comparatively poor. Figure 2 show images from the database with poor results. Testing
208 image shown in row 1 is the case where face passed to the framework is not compatible
209 with training data images. Facial parts nose, eyes and eyebrows are more concentrated
210 to the upper part of the image. As a result segmentation results of the eyes and eyebrows
211 are very poor. If a testing image has glasses, there is problem in segmentation with
212

213 nose, eyes and eyebrows specifically (testing image in row 2). Similarly if a testing
214 image has beard or moustaches, there is also segmentation problem (testing image in
215 row 3). The proposed framework is unable to segment face parts such as moustaches
216 and beard.

217 In the following paragraphs we conclude results obtained during experiments while
218 using FASSEG V-2, FASSEG V- 4, FIGARO and LFW databases.

219 **4.1. Face Segmentation V-2**

220 FASSEGV-2 contains 70 images. We used this version of the database in our previous
221 method MFS. Figure 3 shows comparison of the MFS and proposed MSS-CRFs results.
222 From the Figure 3 it is clear that there is improvement of pixel labelling accuracy (PLA)
223 for all classes with proposed method.

224 Performance of the MFS is not poor on the majority classes (hair, background and skin);
225 however results for minority classes (eyes, nose and mouth) were not satisfactory. Our
226 main target in the present work was improving PLA of the rare and difficult classes.
227 The most advantageous classes in MSS-CRFs are eyes, nose and mouth regions. PLA of
228 the minor classes increased in the present work with two valid reasons; firstly, manual
229 labeling was not performed properly in MFS. Class labels were particularly not given
230 properly to these rare classes due to their complex shapes. Due to their less area in the
231 whole face image; training data for these classes was not provided properly. Here we
232 performed manual labeling with extreme care using manual labeling software
233 Photoshop. Particularly for nose, just tip of the nose was labeled previously in MFS.
234 Label for nose followed different convention here i.e; extending the nose label to the
235 mid of two eyes. As a result PLA of the nose jumped from 29.83% to 68.97% (as shown
236 in Figure 3). Secondly, as MFS is not considering any conditional hierarchy about

237 various face parts; previously these minor classes were mostly miss-classified with
238 majority classes.

239 Moreover, processing time for single image segmentation is reduced with proposed
240 method. A substantial increase in speed - of an order of magnitude - is obtained by
241 using super-pixels, since the number of patches to be classified by the model is greatly
242 reduced. In case of MFS, a class label is provided for each pixel individually while
243 MSS-CRFs assign class label to super-pixels only. All pixels within the super-pixels
244 then get the same class label. We used a single CPU (2.8 GHz , Core i7 and RAM 8
245 GB). We do not use any GPU or some dedicated hardware for our experiments. A single
246 image having size 520×480 is divided into super-pixels in 1.51 seconds with SEEDS.
247 The total framework runs in 2 seconds in the proposed approach which was 49 seconds
248 in the previously proposed MFS method.

249 **4.2. Face Segmentation V-4**

250 Along with FASSEG V-2 images, we added 182 more frontal images to the database.
251 These images were taken from Pointing'04 [21] and SliblingDB [22] databases. Size of
252 the images was kept the same as in MFS (constant height $H = 512$ and width W varied
253 accordingly to keep ratio of original image). Out of the total images, 20 were taken
254 randomly and used for training. Remaining 152 images were used for testing. Figure 4
255 shows confusion matrix for the results obtained for every class. From the Figure 4 it is
256 clear that obtained PLA for all the classes except nose is really impressive.

257 **4.3. FIGARO and LFW-PL Databases**

258 Along with FASSEG database, we also conducted experiments with two other databases
259 FIGARO [20] and LFW [3]. For fair comparison we kept the same setting and same set

260 of images in testing phases as in Svanera et al. [20] and Sifei et al. [3]. However for
261 training phase we used images as in the experiments conducted in first phase.

262 Authors of FIGARO [20] used only hair class during their experiments. FIGARO is a
263 comparatively small database with 840 images in total. All these images are collected
264 from web pages. Authors of the paper included different kind of variations in hair
265 styles; reporting seven hair classes (straight, curly, wavy, kinky, short-men, braids,
266 dreadlocks).

267 LFW is a big database with a large variety of images. All images in the LFW database
268 are captured in the unconstrained environment where a large number of variations are
269 present due to various environmental factors. For LFW database we conducted
270 experiments with three classes (hair, skin and background) as in Sifei et al. [3].
271 Reported accuracy for this case is at pixel-level for all the three classes.

272 Figure 5 shows comparison of the proposed method with FIGARO and LFW database
273 results. From the Figure it is clear that we have better results on FIGARO database.
274 However, our reported results on LFW are lower as compare to previously reported
275 results. All training images in the FASSEG are captured in a controlled lab
276 environment, while testing images in LFW are in unconstrained condition. We believe
277 that if such variations are included in the training data, we can obtain better results as
278 compared to state of the art results on LFW database as well.

279 Main advantage of the proposed method is providing class labels for complete face.
280 Unlike state of the art methods which were considering only few classes; MSS-CRFs
281 provides segmentation of all face parts. Hair segmentation is reported as a difficult task
282 comparatively in previous literature [26, 27]. Previously reported methods were not able
283 to segment hair properly due to its complex geometry and larger variability varying

284 from person to person. However, reported results for hair are encouraging and confirm
285 effectiveness of the proposed method. Reported results also show that the proposed
286 method is robust to lighting variations as some of the images used in testing phase are
287 captured in uncontrolled lighting conditions. Our proposed method provided class labels
288 for all six face parts. In some applications class label is needed for specific part only. In
289 that case the algorithm proposed can be used according to the need and application.

290 The proposed method has some minor drawbacks as well. While creating the database
291 the labeling is performed manually by a human. Providing a class label in the transition
292 region between two classes is very uncertain in such conditions. Similarly patch
293 sampling for training phase is based on random criterion. However the number of pixels
294 from rare classes is insufficient for training. We believe that this results in poor
295 performance as compare to majority classes; having sufficient training data. Also, we
296 noted the proposed framework is un-suitable in cases having beard and moustaches in
297 face images. Providing a separate class label for each of these parts may solve the
298 problem.

299 **5. Conclusion**

300 Semantic segmentation of faces using CRFs is introduced in this paper. We combine
301 features of position, HSV color and shape information to build a CRFs model. A great
302 deal of information is provided about the face parts skin, hair, nose, eyes, mouth and
303 background by a CRFs estimated model. Experimental results show that the proposed
304 model not only outperform state of the art results on FASSEG and FIGARO databases,
305 but also achieves improvement in the previous results by a big margin.

306 The future work can be extended in two directions. Firstly, improving the current model
307 to get better pixel-labelling accuracy. A higher level of variability can be added to

308 training and testing data to make the framework suitable for unconstrained conditions.
309 Secondly, applying the current segmentation model to certain mid-level vision features
310 estimation. We believe that immense sources of information are provided for many
311 hidden variables such as pose, gender, expression, ethnicity, age, beardedness, balding
312 in human and so on.

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Figure 1: Images from FASSEG V-4 database. First column show original RGB images, second column show ground truth images and third column show results obtained with proposed MSS-CRFs (better segmentation results).



390

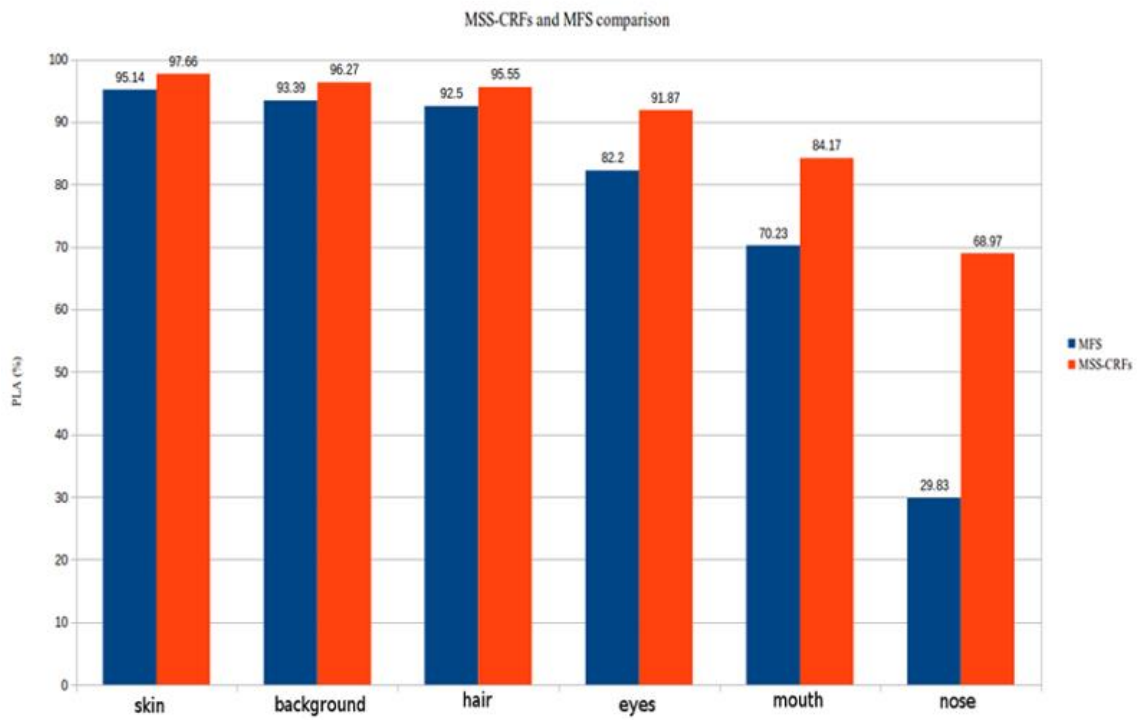
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Figure 2: Images from FASSEG V-4 database. First column show original RGB images, second column show ground truth images and third column show results obtained with proposed MSS-CRFs (poor segmentation results).

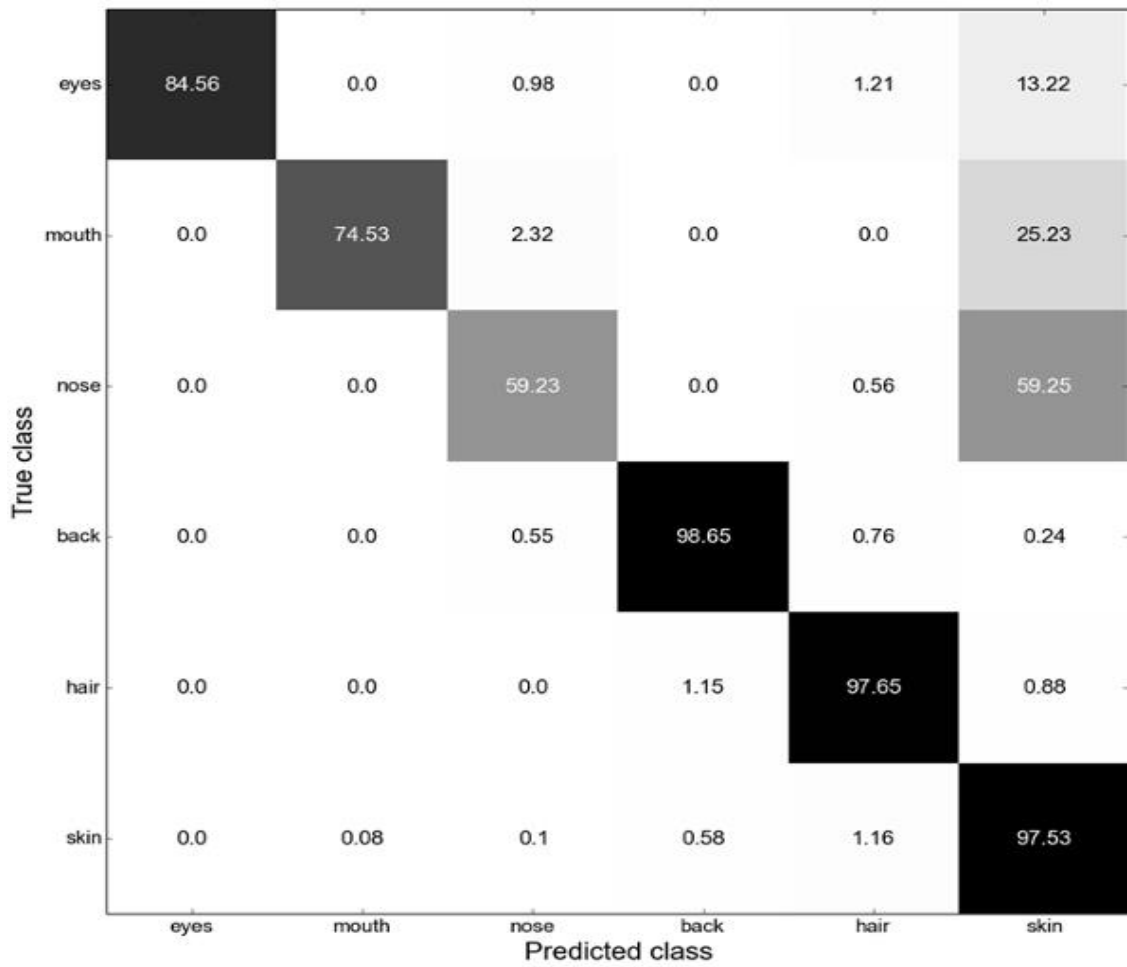


395

396 **Figure 3:** Proposed MSS-CRFs and MFS results comparison using FASSEG database

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V-2.



398

399 **Figure 4:** Confusion matrix obtained for all six classes using MSS-CRFs method and

400

FASSEG V- 4 database.

401

Database	Method used	Accuracy (Percentage)
FIGARO	Proposed approach	94.56
FIGARO	FIGARO	86.20
LFW-PL	Proposed approach	92.47
LFW-PL	MO-GC with prior	95.12
LFW-PL	MO-GC	95.24

402

403

Figure 5: MSS-CRFs comparison with previously reported methods.